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The quartet ground state in CeB₆: An inelastic x-ray scattering study

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Abstract – We investigated the ground-state symmetry of the cubic hidden order compound CeB₆ by means of core level non-resonant inelastic x-ray scattering (NIXS). The information is obtained from the directional dependence of the scattering function that arises from higher than dipole transitions. Our new method confirms that the ground state is well described using a localized crystal-field model assuming a Γ_8 quartet ground state.

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Introduction. – The material class of rare-earth hexaborides has attracted considerable attention over the years. It comprises a variety of different fascinating ground states (see ref. [1] and references therein) which include exotic magnetically ordered phases, heavy-fermion behavior, as well as Kondo insulating ground states. CeB₆ is an important member of this material class, well known for its so-called hidden magnetic order. The very recent theoretical suggestion that SmB₆ could be a strongly correlated topological insulator [2,3] even caused a flurry of new investigations (see ref. [4] and references therein), thereby raising speculations that also YbB₆ under pressure could be topological. The standard and at the same time pressing question in all these studies concerns the symmetry of the ground-state wave function of the crystal-electric field split 4*f* multiplet. Here we explore the feasibility of using a recently developed experimental method, namely core-level non-resonant inelastic x-ray scattering (NIXS), to determine the ground-state wave function of CeB₆, a system which crystallizes in the cubic CsCl structure. Figure 1 displays how the crystal-electric field splits the sixfold degenerate $j = 5/2$ multiplet state of the Ce 4*f*¹ into a Γ_8 quartet and Γ_7 doublet.

CeB₆ is a heavy-fermion compound that has been intensively studied for its rich magnetic phase diagram [5].

Upon cooling CeB₆ enters a hidden order phase at 3.2 K followed by an antiferromagnetic phase below 2.4 K. The hidden order parameter is not accessible with, *e.g.*, neutron or standard x-ray diffraction at zero field. The application of an external field, however, induces a dipole component with the wave vector of the quadrupolar ordering [6]. Theory suggests that the multipolar moments of the localized 4*f* electrons interact with each other via the itinerant 5*d* conduction electrons, breaking up the fourfold ground-state degeneracy of the Ce 4*f* wave function in the cubic crystal field stabilizing an antiferro-quadrupolar (AFQ) order [7], a conjecture that by now has received credibility from a resonant x-ray diffraction study [8,9]. The observation of a spin resonance in the inelastic neutron data of CeB₆ [10–12] shows the importance of itinerancy for the formation of the multipolar and magnetic order [13], the latter being supported by electronic structure investigations of CeB₆ [14,15]. Inelastic neutron scattering finds in agreement with Raman scattering a crystal-field excitation at 46 meV and it is generally accepted that the intriguing magnetic properties of CeB₆ evolve out of the fourfold degenerate Γ_8 ground state. The quartet ground state had been originally deduced from an unusual low-temperature shift of the crystal-field excitation in Raman and inelastic neutron scattering

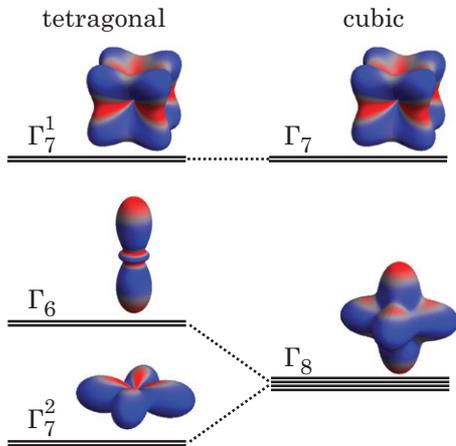


Fig. 1: (Colour online) Electron density plots for an f -electron in Ce^{3+} , left for tetragonal and right for cubic point symmetry. For tetragonal symmetry the crystal-field states expressed in J_z representation are $\Gamma_6 = |\pm 1/2\rangle$, $\Gamma_7^1 = \alpha|\pm 5/2\rangle - \sqrt{1-\alpha^2}|\mp 3/2\rangle$ and $\Gamma_7^2 = \sqrt{1-\alpha^2}|\pm 5/2\rangle + \alpha|\mp 3/2\rangle$ with $\alpha^2 \leq 1$; for cubic symmetry $\alpha = \sqrt{1/6}$ so that $\Gamma_7^1 = \sqrt{1/6}|\pm 5/2\rangle - \sqrt{5/6}|\mp 3/2\rangle$ and $\Gamma_8 = (|\pm 1/2\rangle; \sqrt{5/6}|\pm 5/2\rangle + \sqrt{1/6}|\mp 3/2\rangle)$.

data [16,17]. The energy shift was interpreted as a splitting of the quartet ground state in the low-temperature phase in accordance with electron paramagnetic resonance (EPR) measurements [18]. A quartet ground state is also consistent with findings of the magnetic anisotropy [19], magnetic neutron form factor measurements [20] as well as x-ray diffraction (XRD) measurements of the electron density distribution at low temperatures and 300 K [21] with the claim that a level inversion may occur at higher temperatures [22]. We have now revisited the symmetry aspect of CeB_6 in the paramagnetic phase using core level $\text{Ce } N_{4,5}$ ($4d \rightarrow 4f$) NIXS, a spectroscopic technique that directly probes the charge distribution of the $\text{Ce } 4f$ electrons.

Spectroscopic technique. – In the recent past we have shown that soft x-ray absorption spectroscopy (XAS) with linear polarized light is a very useful local probe for determining the anisotropy of wave functions in tetragonal [23] or orthorhombic heavy-fermion compounds [24], and for detecting small variations with unprecedented accuracy [25]. However, for cubic compounds XAS cannot be applied since it relies on dipole transitions which cannot distinguish between the Γ_8 quartet and the Γ_7 doublet state (see fig. 1). We have therefore performed an experiment that probes the symmetry with higher multipole transitions. This can be realized in a core level non-resonant inelastic x-ray scattering (NIXS) experiment with large momentum transfers $|\mathbf{q}|$. For large enough $|\mathbf{q}|$ the expansion of the transition operator $e^{i\mathbf{q}\cdot\mathbf{r}}$ in the scattering function $S(\mathbf{q}, \omega)$ can no longer be truncated after the first term and, as a result, higher multipole terms contribute to $S(\mathbf{q}, \omega)$. These extra multipole contributions then give information that is not accessible in a dipole experiment [26–36].

Inelastic X-ray Scattering geometry

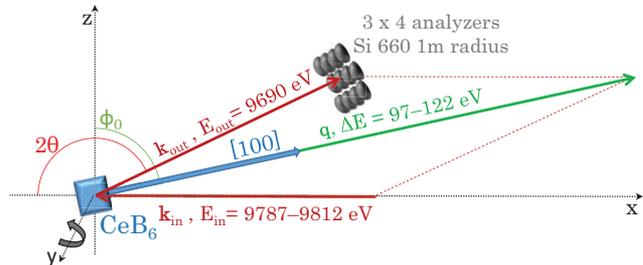


Fig. 2: (Colour online) Scattering geometry of the NIXS experiment for a typical $N_{4,5}$ edge scan as in fig. 4, here for a scan with $\mathbf{q} \parallel [100]$.

Bradley *et al.* [33] and Gordon *et al.* [34] were the first to observe higher multipole transitions in rare-earth materials at the $N_{4,5}$ core level excitation for large momentum transfers $|\mathbf{q}|$ and the data were well described with a local many-body approach by Haverkort *et al.* [27]. Already the early papers suggested that vector- \mathbf{q} -dependent NIXS experiments on a single crystal should give insight into the ground-state symmetry in analogy to an XAS experiment with linear polarized light [27,28,33,34], and indeed, an experiment on cubic single crystals of MnO and CeO_2 at the $\text{Mn } M_{2,3}$ and $\text{Ce } N_{4,5}$ edges revealed direction dependences in the higher multipole scattering function [29]. Very recently, NIXS has been successfully used to determine the ground-state symmetry and/or determine the rotation of the f -orbitals in fourfold symmetry in Ce single crystals [37–39].

Experimental. – The single-crystal samples of CeB_6 were grown by the Al-flux method. Typically 0.7 g of CeB_6 (as the elements) are heated with 60 g of high-purity Al (59) to 1450 °C, held there for 8 h and then cooled to 1000 °C at 2 °C/h, when the furnace is shut off. The crystals are leached from the Al in NaOH solution.

The NIXS measurements on the CeB_6 $\text{Ce } N_{4,5}$ core level were performed at the beamline P01 of PETRA-III. The incident energy was selected with a Si(311) double monochromator. The P01 NIXS end station has a vertical geometry with twelve Si(660) 1 m radius spherically bent crystal analyzers that are arranged in a 3×4 array (see fig. 2). The fixed final energy was 9690 eV. The analyzers were positioned at scattering angles of $2\theta \approx 150^\circ$, 155° , and 160° , which corresponds at elastic scattering to an averaged momentum transfer of $|\mathbf{q}| = (9.6 \pm 0.1) \text{ \AA}^{-1}$. The scattered beam was detected by a position-sensitive custom-made Lambda detector, based on a Medipix3 chip detector. The elastic line was regularly measured and pixelwise calibration yields an instrumental energy resolution of FWHM ≈ 0.7 eV. A sketch of the scattering geometry, showing the incoming and outgoing photons as well as the transferred momentum $|\mathbf{q}|$, is given in fig. 2 for a scan with $\mathbf{q} \parallel [100]$ in specular geometry. In order to realize another crystallographic direction, *e.g.*, $\mathbf{q} \parallel [110]$, the sample

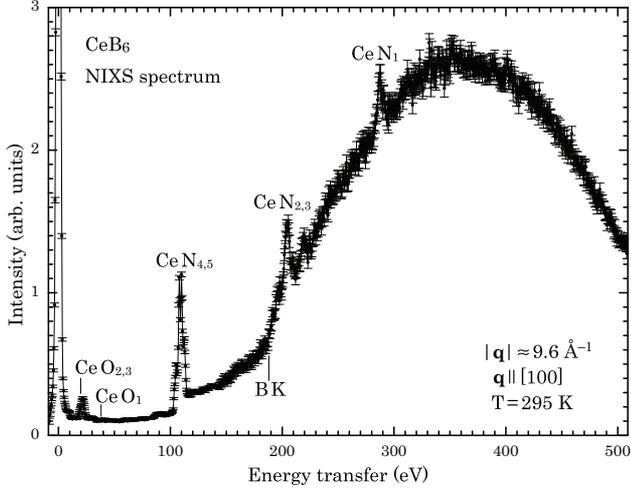


Fig. 3: Experimental NIXS spectra of CeB₆: a wide scan covering the Ce O₅, N_{4,5}, N_{2,3}, and N₁ edges, the B K edge as well as the Compton signal. The direction of the momentum transfer is $\mathbf{q} \parallel [100]$.

can be turned with respect to the scattering triangle, or a different sample with another polished surface may be mounted in specular geometry.

Two crystals with (100) and (110) surfaces were mounted in a vacuum cryostat with Kapton windows. The measurements were performed with a pressure in the 10^{-6} mbar range. The two samples were oriented such that for $\mathbf{q} \parallel [100]$ and $\mathbf{q} \parallel [110]$ a specular scattering geometry was realized, *i.e.*, with the surface normal parallel to the momentum transfer ($\phi = \phi_o = \theta$). In order to check the reliability, the $\mathbf{q} \parallel [110]$ measurement was repeated on the (100) crystal but with the surface normal being rotated 45° away from \mathbf{q} ($\phi = \phi_o - 45^\circ$). The data were fully consistent. The $\mathbf{q} \parallel [111]$ situation was realized by turning the (110) crystal to $\phi = \phi_o - 35^\circ$.

Results and discussion. – Figure 3 shows the NIXS spectrum across the Ce N_{4,5} ($4d \rightarrow 4f$), N_{2,3} ($4p \rightarrow 4f$), and N₁ ($4s \rightarrow 4f$) edges. The accompanying Compton contribution has its maximum at about 350 eV energy transfer. It is important to note that the Ce white lines are clearly discerned from the Compton scattering, and that especially the Ce N_{4,5} white lines stand out with an excellent signal-to-background ratio. This shows that N_{4,5} NIXS is an extremely suitable experimental method for the study of the local electronic structure of CeB₆, and for that matter, the class of rare-earth hexaborides.

The top panel (a) of fig. 4 shows the Ce N_{4,5} NIXS spectra of CeB₆ (dots) taken at 17 K, for the three momentum directions $\mathbf{q} \parallel [100]$ (black dots), $\parallel [110]$ (green dots), and $\parallel [111]$ (red dots). The temperature of 17 K is low enough to assure that only the ground state is populated. We recall that the excited crystal-field state is 46 meV above the ground state [16,17]. Here only a constant background has been subtracted to account for the (weak) Compton

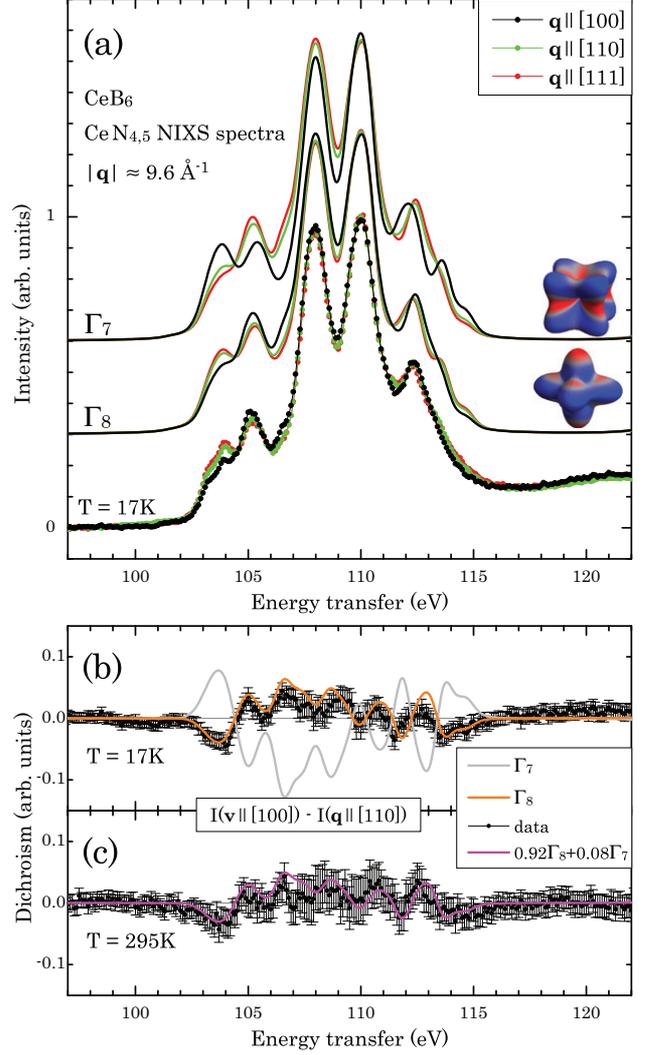


Fig. 4: (Colour online) Top panel (a): calculated and experimental NIXS spectra of the Ce N_{4,5} edge for the three transferred momentum directions $\mathbf{q} \parallel [100]$, $[110]$, and $[111]$. Bottom panel: difference spectra $I(\mathbf{q} \parallel [100]) - I(\mathbf{q} \parallel [110])$ (black dots) (b) at low T and (c) at room temperature and respective simulations (see text).

signal (about 12% of the signal peak) (see fig. 3). The size of the dots resembles the statistical error bar.

There is a clear direction dependence that shows up strongest in the energy interval of 103 to 106 eV. Especially the $\mathbf{q} \parallel [100]$ direction differs from the $\mathbf{q} \parallel [110]$ and $[111]$. We can obtain a more detailed view at the directional dependence by constructing the difference spectra $I_{\mathbf{q} \parallel [100]} - I_{\mathbf{q} \parallel [110]}$ that is displayed as dichroism in the bottom panel (b) of fig. 4 (black dots).

The Ce N_{4,5} NIXS data are simulated by calculating the $4d^{10}4f^1 \rightarrow 4d^94f^2$ transition using the full multiplet code *Quanty* [40] which includes Coulomb as well as spin-orbit interactions. A Gaussian and a Lorentzian broadening of FWHM = 0.7 eV and 0.4 eV, respectively, are used to account for the instrumental resolution and lifetime effects.

The atomic Hartree-Fock values were adjusted via the peak positions, resulting in reductions of 30% and 22% for the $4f$ - $4f$ and $4d$ - $4f$ Coulomb interactions, respectively. The reduction accounts for configuration interaction effects not included in the Hartree-Fock scheme [41]. A momentum transfer of $|\mathbf{q}| = 9.2 \text{ \AA}^{-1}$ has been used for the simulations (and not the experimental value of $(9.6 \pm 0.1) \text{ \AA}^{-1}$) so that the experimental peak ratio of the two main features around 108 and 110 eV is reproduced best. This fine tuning optimizes the multipole contributions to the scattering functions to mimic for a minor adjustment of the calculated radial wave functions of the Ce^{3+} atomic wave function (see, *e.g.*, ref. [37]).

We now compare the measured spectra and the dichroism therein with the simulations for the two possible scenarios, namely one with the Γ_7 doublet as ground state and the other with the Γ_8 quartet. The results are plotted in fig. 4(a). The Γ_8 quartet scenario reproduces in great detail the experimental spectra for all three \mathbf{q} directions. Actually, the match is excellent. In contrast, the simulation based on the Γ_7 doublet exhibits large discrepancies with respect to the experiment: the intensities of several features in the spectra are not correct. To make the difference between the two scenarios even more contrasting, we compare the experimental and calculated dichroic spectra, *i.e.*, $I_{\mathbf{q} \parallel [100]} - I_{\mathbf{q} \parallel [110]}$, as displayed in the bottom panel (b). There is an excellent match for the Γ_8 quartet ground-state scenario but a large mismatch for the Γ_7 doublet. From these comparisons we can unambiguously conclude that the Γ_8 quartet forms the ground state in CeB_6 .

In addition, we have taken spectra at $T = 295 \text{ K}$. The spectra look very similar to the low-temperature data but the dichroism is reduced by about 20%, see bottom panel (c) of fig. 4. We would like to note that thermal broadening effects are negligible with respect to the instrumental resolution and intrinsic lifetime. In agreement with ref. [21] the reduction in the dichroism is fully consistent with a partial population of the excited Γ_7 state at 46 meV. A simulation in which the Boltzmann weighted contributions of the Γ_8 and Γ_7 states are taken into account is represented by the magenta line in panel (c) of fig. 4. The excellent agreement provides yet another evidence for the thorough understanding we have obtained using NIXS on the Ce $4f$ symmetry and crystal-electric field effects in CeB_6 .

Summary. – Using $\text{CeN}_{4.5}$ non-resonant inelastic x-ray scattering (NIXS) we were able to establish that the ground-state symmetry of the cubic hidden order compound CeB_6 is the Γ_8 quartet. The high signal-to-background ratio of the $\text{N}_{4.5}$ NIXS signal indicates that this bulk-sensitive and element-specific spectroscopic technique is a powerful method to study the local electronic structure of the rare-earth ions in rare-earth borides. With NIXS probing directly the charge distribution of the $4f$ electrons, it complements nicely neutron scattering based

techniques which provide direct information on the spin distribution.

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